

# Noninvasive optical inhibition with a red-shifted microbial rhodopsin

Amy S Chuong<sup>1-3</sup>, Mitra L Miri<sup>4,12</sup>, Volker Busskamp<sup>5,6,12</sup>, Gillian A C Matthews<sup>7,12</sup>, Leah C Acker<sup>1-3,12</sup>, Andreas T Sørensen<sup>2</sup>, Andrew Young<sup>2</sup>, Nathan C Klapoetke<sup>1-3</sup>, Mike A Henninger<sup>1-3</sup>, Suhana B Kodandaramaiah<sup>1-3,8</sup>, Masaaki Ogawa<sup>1-3</sup>, Shreshtha B Ramanlal<sup>9</sup>, Rachel C Bandler<sup>1</sup>, Brian D Allen<sup>1</sup>, Craig R Forest<sup>8</sup>, Brian Y Chow<sup>10</sup>, Xue Han<sup>9</sup>, Yingxi Lin<sup>2</sup>, Kay M Tye<sup>7</sup>, Botond Roska<sup>5</sup>, Jessica A Cardin<sup>4,11</sup> & Edward S Boyden<sup>1-3</sup>

**Optogenetic inhibition of the electrical activity of neurons enables the causal assessment of their contributions to brain functions. Red light penetrates deeper into tissue than other visible wavelengths. We present a red-shifted cruxhalorhodopsin, Jaws, derived from *Haloarcula (Halobacterium) salinarum* (strain Shark) and engineered to result in red light-induced photocurrents three times those of earlier silencers. Jaws exhibits robust inhibition of sensory-evoked neural activity in the cortex and results in strong light responses when used in retinas of retinitis pigmentosa model mice. We also demonstrate that Jaws can noninvasively mediate transcranial optical inhibition of neurons deep in the brains of awake mice. The noninvasive optogenetic inhibition opened up by Jaws enables a variety of important neuroscience experiments and offers a powerful general-use chloride pump for basic and applied neuroscience.**

Optogenetic inhibition, the use of light-activated ion pumps to enable transient activity suppression of genetically targeted neurons by pulses of light<sup>1-3</sup>, is valuable for the causal parsing of neural circuit component contributions to brain functions and behaviors. A major limit to the utility of optogenetic inhibition is the addressable quantity of neural tissue. Previous optogenetic hyperpolarizing proton pumps (Arch<sup>1</sup>, ArchT<sup>3</sup>, Mac<sup>1</sup>) and chloride pumps (eNpHR<sup>4</sup>, eNpHR3.0 (ref. 2)) have successfully inhibited volumes of approximately a cubic millimeter, but many neuroscience questions require the ability to suppress larger tissue volumes. A number of pharmacogenetic, chemical and genetic strategies have been used for this purpose<sup>5-7</sup>, but it would ideally be possible to address these large brain volumes with the millisecond temporal precision of optogenetic tools.

Another common desire in optogenetic experiments is to minimize invasiveness from inserting optical fibers into the brain, which displaces brain tissue and can lead to side effects such as

brain lesion, neural morphology changes, glial inflammation and motility, or compromise of asepsis<sup>8-10</sup>. Less invasive strategies that do not require an implanted optical device would also increase experimental convenience and enable longer timescale experiments than often feasible with fragile implants. While a number of previous studies using channelrhodopsins have attempted to address this problem<sup>11-16</sup>, noninvasive optical inhibition has not yet been possible.

To enable noninvasive large-volume optogenetic inhibition, we engineered and characterized Jaws, a spectrally shifted cruxhalorhodopsin derived from the species *H. salinarum* (strain Shark)<sup>17</sup>, which mediates strong red light-driven neural inhibition. Jaws is capable of powerful optical hyperpolarization in a variety of neuroscientific contexts: it successfully enabled suppression of visually evoked neural activity in mice, functioned in cone photoreceptors to restore greater light sensitivity in mouse models than possible with previous opsins and enabled the noninvasive transcranial inhibition of neurons in brain structures up to 3 mm deep. This new reagent thus makes a variety of important experiments amenable to optogenetic investigation.

## RESULTS

### Engineering a red light-sensitive chloride pump

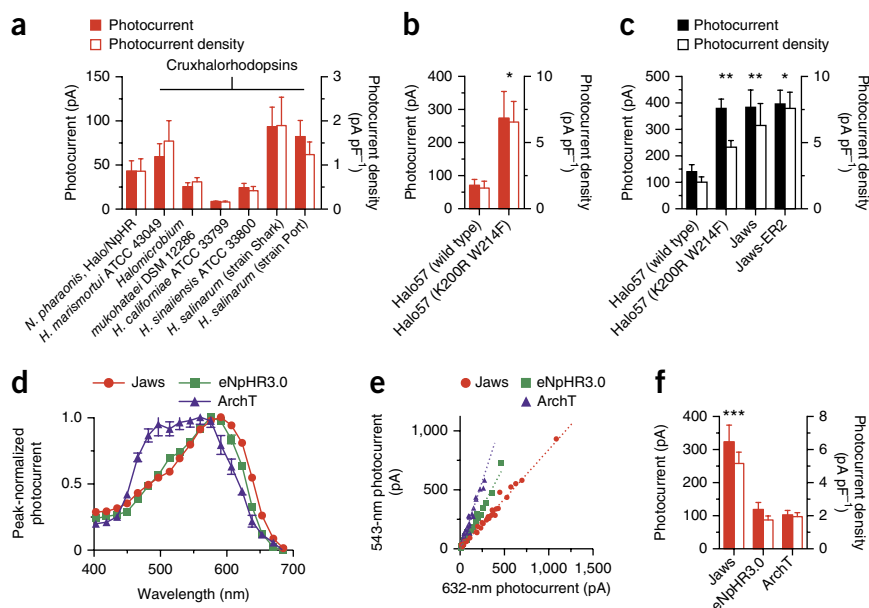
In earlier work, we identified two cruxhalorhodopsins from the haloarcula *H. marismortui* and *H. vallismortis* that possessed the most red-shifted action spectra known for any hyperpolarizing opsins<sup>1,18</sup>. While their low photocurrents made them poor candidates for *in vivo* use<sup>1</sup>, their spectra suggested they might be good scaffolds for further engineering. Because red light is less absorbed by hemoglobin than blue, green or yellow wavelengths, we reasoned this red-light sensitivity might render deep brain regions more accessible. We validated this through Monte Carlo modeling and direct measurement in the live mouse brain (**Supplementary Fig. 1**).

We therefore screened members of the cruxhalorhodopsin class in primary neuronal culture to identify molecules with both red-shifted

<sup>1</sup>Media Lab, Department of Media Arts and Sciences, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA. <sup>2</sup>McGovern Institute for Brain Research, Department of Brain and Cognitive Sciences, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA. <sup>3</sup>Department of Biological Engineering, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA. <sup>4</sup>Department of Neurobiology, Yale School of Medicine, Yale University, New Haven, Connecticut, USA. <sup>5</sup>Neural Circuit Laboratories, Friedrich Miescher Institute for Biomedical Research, Basel, Switzerland. <sup>6</sup>Department of Genetics, Harvard Medical School, Boston, Massachusetts, USA. <sup>7</sup>Picower Institute for Learning and Memory, Department of Brain and Cognitive Sciences, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA. <sup>8</sup>George W. Woodruff School of Mechanical Engineering, Georgia Institute of Technology, Atlanta, Georgia, USA. <sup>9</sup>Department of Biomedical Engineering, Boston University, Boston, Massachusetts, USA. <sup>10</sup>Department of Bioengineering, University of Pennsylvania, Philadelphia, Pennsylvania, USA. <sup>11</sup>Kavli Institute for Neuroscience, Yale University, New Haven, Connecticut, USA. <sup>12</sup>These authors contributed equally to this work. Correspondence should be addressed to E.S.B. (esb@media.mit.edu).

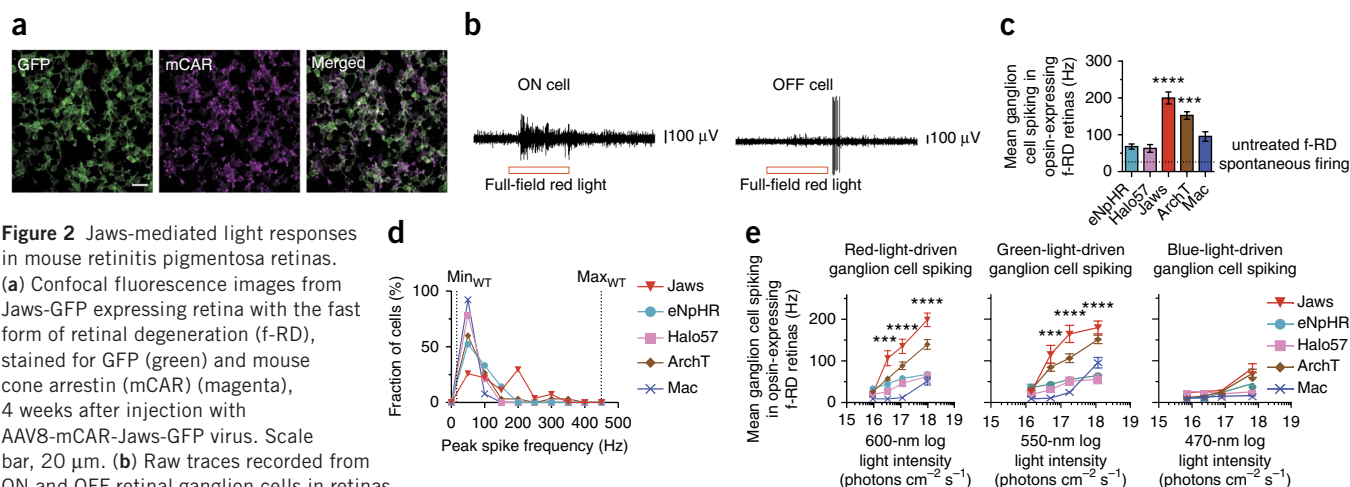
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**Figure 1** Engineering and *in vitro* characterization of Jaws, a red-shifted cruxhalorhodopsin. (a) Red light photocurrents (left y-axis, red bars) and photocurrent densities (right y-axis, white bars) for cruxhalorhodopsins and the *N. pharaonis* halorhodopsin in cultured neurons (632 nm, 5 mW/mm<sup>2</sup>; *n* = 6 cells for each). ATCC, American Type Culture Collection; DSM, German Collection of Microorganisms and Cell Cultures. (b) The K200R W214F Halo57 mutant (*n* = 9 cells) demonstrates enhanced photocurrents over wild-type Halo57 in cultured neurons (*n* = 10 cells; 632 nm, 5 mW/mm<sup>2</sup>). (c) Photocurrents (black bars) and photocurrent densities (white bars) for wild-type Halo57 (*n* = 9 cells), Halo57 (K200R W214F) (*n* = 21 cells), Jaws (*n* = 11 cells) and Jaws-ER2 in cultured neurons (*n* = 5 cells; 5 mW/mm<sup>2</sup>, 543 nm; *P* < 0.01 for all variants compared to the wild-type Halo57). (d) Action spectra for Jaws (*n* = 12 cells), ArchT (*n* = 13 cells) and eNpHR3.0 (*n* = 15 cells; measured in cultured neurons using equal photon fluxes of  $\sim 3.0 \times 10^{21}$  photons s<sup>-1</sup> m<sup>-2</sup>). (e) Red:green photocurrent ratios for Jaws (*n* = 33 cells), ArchT (*n* = 36 cells) and eNpHR3.0 in cultured neurons (*n* = 29 cells; 5 mW/mm<sup>2</sup> at 632 nm or 543 nm). Regression lines are shown for each opsin, indicating distinct spectral shifts. (f) Red-light mediated photocurrents (left y-axis, red bars) and photocurrent densities (right y-axis, white bars) for Jaws (*n* = 33 cells), ArchT (*n* = 36 cells) and eNpHR3.0 in cultured neurons (*n* = 29 cells; 5 mW/mm<sup>2</sup> at 632 nm; *P* < 0.001 for Jaws compared to ArchT or eNpHR3.0). Data in each panel were measured from 2 batches of neuron culture. Values throughout are mean  $\pm$  s.e.m. In b, \**P* = 0.020; in c, from left to right, \*\**P* = 0.0017, \*\*\**P* = 0.0047, \**P* = 0.0183; in f, \*\*\**P* < 0.001. Unpaired *t*-tests in b, ANOVA in c with Dunnett's *post hoc* test using wild-type Halo57 as the reference, ANOVA in f with Newman-Keuls *post hoc* test. *t* = 2.567 for b; *F* = 5.473 for c and 14.02 for f.



action spectra and robust photocurrents (Fig. 1a and Supplementary Fig. 2) and identified a hyperpolarizer we named Halo57, the cruxhalorhodopsin from *H. salinarum* (strain Shark), with less than 60% homology to the *Natronomonas pharaonis* halorhodopsin (NpHR/halo; Supplementary Fig. 3a). We subsequently engineered Halo57 by identifying K200R and W214F point mutations<sup>19,20</sup> (Fig. 1b), which

significantly boosted photocurrents without altering its red action spectrum (*n* = 9 or 10 cells; *P* = 0.02, ANOVA with Dunnett's *post hoc* test), and by appending the KGC<sup>21</sup> or ER2 (ref. 22) trafficking sequences from the potassium channel Kir2.1 to result in the final molecules Jaws (KGC + ER2) and Jaws-ER2 (ER2) (Fig. 1c and Supplementary Fig. 3b). In accord with the low sequence conservation, the two homologous



**Figure 2** Jaws-mediated light responses in mouse retinitis pigmentosa retinas. (a) Confocal fluorescence images from Jaws-GFP expressing retina with the fast form of retinal degeneration (f-RD), stained for GFP (green) and mouse cone arrestin (mCAR) (magenta), 4 weeks after injection with AAV8-mCAR-Jaws-GFP virus. Scale bar, 20  $\mu$ m. (b) Raw traces recorded from ON and OFF retinal ganglion cells in retinas expressing Jaws in cone photoreceptors, optically stimulated with  $9.6 \times 10^{17}$  photons cm<sup>-2</sup> s<sup>-1</sup> at 600 nm for 1 s. (c) Comparison of mean spiking in ganglion cells downstream of neurons expressing eNpHR (*n* = 21 cells from 1 mouse), Halo57 (*n* = 14 cells from 1 mouse), ArchT (*n* = 30 cells from 1 mouse), Mac (*n* = 13 cells from 2 mice) or Jaws (*n* = 27 cells from 1 mouse) at opsin peak wavelength sensitivity. Light intensity was  $1.2 \times 10^{18}$  photons cm<sup>-2</sup> s<sup>-1</sup> for green light (ArchT, Mac) and  $9.6 \times 10^{17}$  photons cm<sup>-2</sup> s<sup>-1</sup> for red light (Jaws, eNpHR, Halo57). Dotted line indicates baseline f-RD firing per ref. 49. (d) Population distribution of retinal ganglion cell peak firing rates at opsin peak wavelength sensitivity. Light intensities as indicated in c; dotted lines indicate the minimum (min<sub>WT</sub>) and maximum (max<sub>WT</sub>) of the wild-type dynamic range<sup>24</sup>. (e) Retinal ganglion spike rate versus red, green and blue irradiances, measured in ganglion cells downstream of opsin-expressing cones in f-RD retina. Values are means  $\pm$  s.e.m.; *n* values for c–e are as indicated in c. In c–e, \*\*\**P* < 0.001, \**P* < 0.0001. ANOVAs in c,e with Dunnett's *post hoc* test using eNpHR as the reference; Kolmogorov-Smirnov test in d. *F* = 22.13 for c and 37.08, 32.53 and 10.43 for e. In c, \*\*\*\**P* < 0.0001 for Jaws, \*\*\**P* < 0.001 for ArchT, *P* = 0.9973 for Halo57 and *P* = 0.4929 for Mac. In d, as compared to Jaws, *P* < 0.0001 for eNpHR, *P* < 0.0001 for Halo57, *P* = 0.0375 for ArchT and *P* = 0.0008 for Mac. In e, *P* = 0.4679, *P* = 0.001, *P* < 0.0001, *P* < 0.0001 for green and *P* = 0.2703, *P* = 0.001, *P* < 0.0001 and *P* < 0.0001 for red, from lowest to highest irradiance.

point mutations did not enhance the *N. pharaonis* halorhodopsin (Supplementary Fig. 3a,c,d). Ion-specific solutions confirmed Jaws to be a light-driven chloride pump (Supplementary Fig. 3e,f).

*In vivo* optogenetic inhibition has previously only been possible with blue, green and yellow light, as existing optogenetic inhibitors operate at only 10–30% of their peak capacity at red wavelengths. Because Jaws has a 14-nm red shift relative to the *N. pharaonis* halorhodopsin (NpHR/halo, eNpHR, eNpHR3.0), we hypothesized that it might be a good candidate for potent red-light neural inhibition (Fig. 1d,e). To further characterize this, we transfected Jaws, eNpHR3.0 or ArchT into primary neuron cultures along with a secondary cytosolic tdTomato plasmid and selected cells for whole-cell patch clamp characterization solely on the basis of the presence of tdTomato (Supplementary Fig. 4), to prevent selection bias for opsin expression levels and more accurately represent the full range of *in vivo* expression: we found Jaws to have significantly higher red light (632 nm) photocurrents than eNpHR3.0 or ArchT across all tested light powers (Fig. 1f and Supplementary Fig. 4d;  $n = 21$ –30 cells from 2 batches of culture;  $P < 0.01$  for 0.1–20 mW/mm<sup>2</sup>, ANOVA with Newman-Keuls *post hoc* test). The robust *in vitro* photocurrents we observed seemed promising, so we next moved to assess Jaws in a variety of *in vivo* contexts.

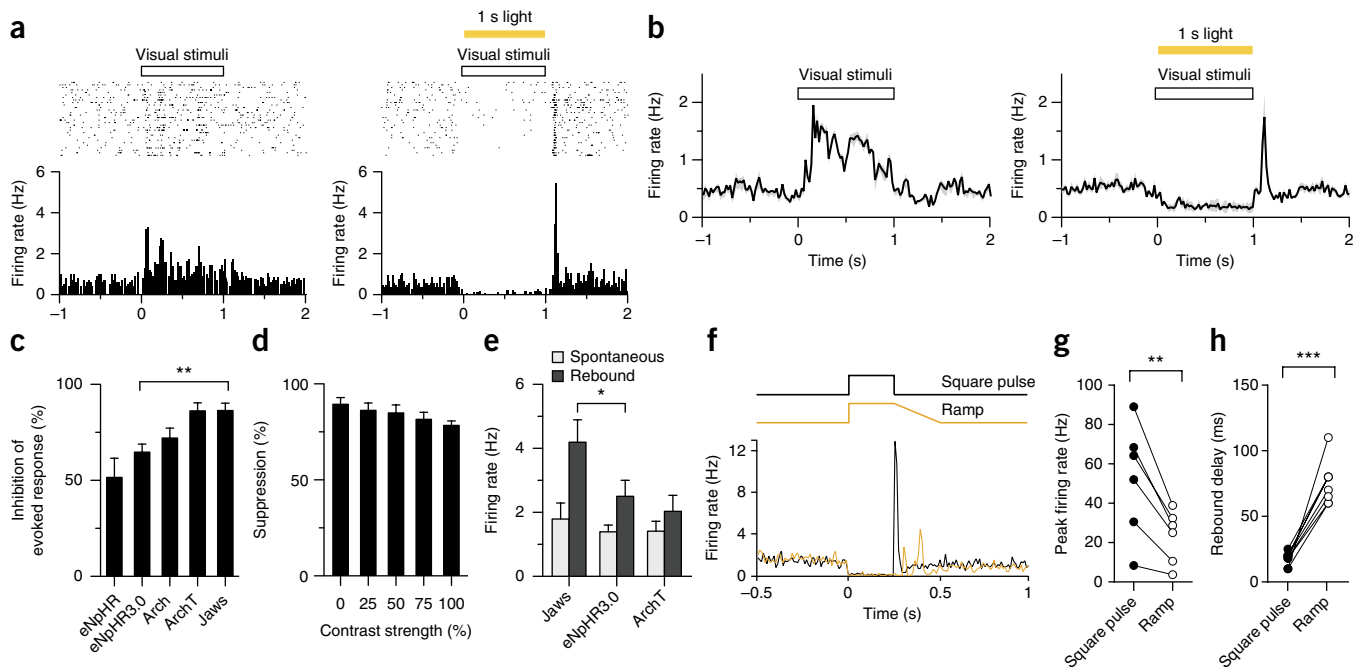
### Potential for optogenetic visual therapeutics

Optogenetic neural hyperpolarization is being explored not only as a tool for basic neuroscience, but also as a prototype therapeutic. As one example, retinitis pigmentosa is a visual disorder that results

first in night blindness and then in overall blindness as a result of photoreceptor degeneration<sup>23</sup>. A potential therapy for patients with cone photoreceptor atrophy would be to resensitize the cone cells to light by genetically expressing light-activated hyperpolarizing ion pumps in the cone photoreceptors, which in their healthy state hyperpolarize in response to optical stimulation.

When expressed in retinal cones of retinitis pigmentosa mouse models, eNpHR has previously been shown to be capable of transducing spikes in downstream retinal ganglion cells and mediating visually guided behaviors. However, the resultant spiking rates are limited to less than 200 Hz, substantially less than the full wild-type range of 15–450 Hz (ref. 24), and thus potentially limit fine visual perception in future clinical use. Additionally, while eNpHR can be activated using light powers that are safe for human use<sup>24</sup>, more light-sensitive hyperpolarizers would require less light for stimulation and thus provide a greater margin of efficacy and safety given the inevitable unknowns that might crop up in potential future trials in humans. Thus, better neural hyperpolarizers remain of great interest for potential clinical use in the human eye.

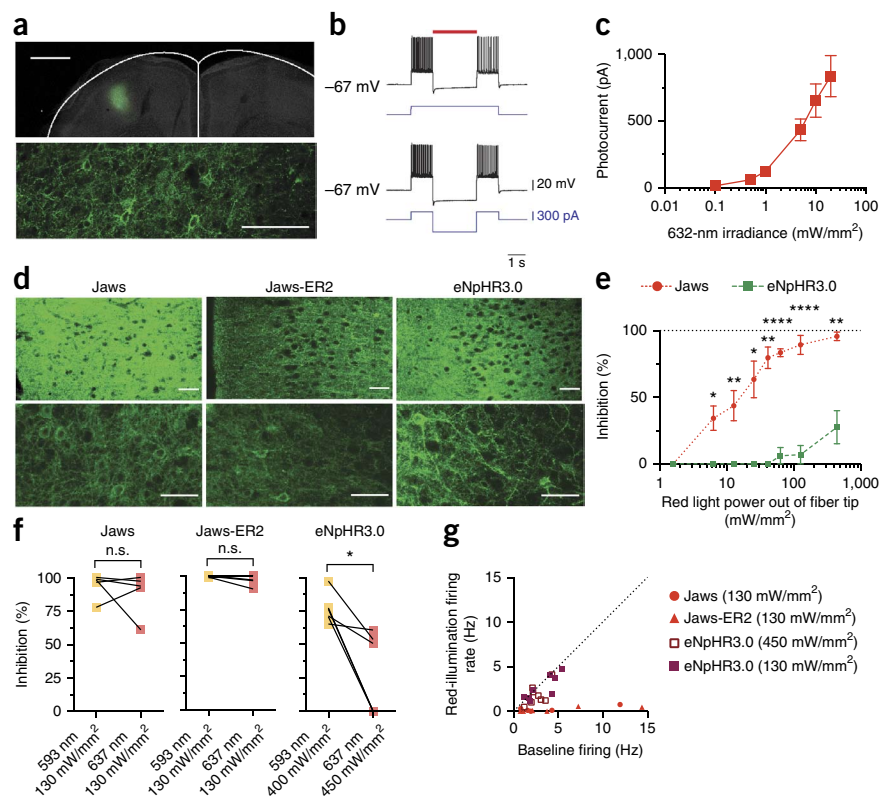
We decided to first compare Jaws's performance against those of other known hyperpolarizers by injecting adeno-associated virus (AAV), serotype 8 (AAV8), encoding various light-driven proton or chloride pumps into the cone photoreceptors of *Pde6b<sup>rd1</sup>* mice, also called fast retinal degeneration mice<sup>24,25</sup> (Fig. 2a and Supplementary Fig. 5b), which are blind by postnatal day (P) 28. We conducted extracellular retinal ganglion cell recordings (Fig. 2b) 4–6 weeks after



**Figure 3** Jaws-mediated inhibition of evoked responses in visual cortex. (a) Representative rasters of visually evoked responses (top left) and Jaws-mediated inhibition of visually evoked responses (top right), and post-stimulus time histograms for visually evoked (bottom left) and Jaws-inhibited visually evoked (bottom right) responses, of a representative neuron ( $n = 27$ ) in the visual cortex of an anesthetized *Emx1-cre* mouse, as measured by extracellular tetrode recording (AAV5-FLEX virus; 35 mW/mm<sup>2</sup> at 593 nm using a 200- $\mu$ m fiber). (b) Population average of five simultaneously recorded neurons showing visually evoked responses (left) and Jaws-mediated inhibition of visually evoked responses (right). (c) Inhibition of visually evoked neural responses for eNpHR ( $n = 8$  units from 2 mice), eNpHR3.0 ( $n = 32$  units from 4 mice), Arch ( $n = 18$  units from 3 mice), ArchT ( $n = 21$  units from 3 mice) and Jaws ( $n = 27$  units from 4 mice;  $P < 0.01$  for Jaws versus eNpHR3.0). (d) Jaws-mediated inhibition of visually evoked responses ( $n = 14$  units from 2 mice) for different visual input stimulus strengths. (e) Comparison of spontaneous and immediately post-illumination firing rates for Jaws, eNpHR3.0 and ArchT ( $n = 32$  units from 4 mice for eNpHR3.0,  $n = 21$  units from 3 mice for ArchT,  $n = 5$  units for Jaws from 2 mice;  $P < 0.05$  for Jaws versus eNpHR3.0). (f) Post-stimulus time histogram for a standard, step light pulse (black line) versus ramped illumination (yellow line), for a spontaneously firing visual cortex neuron. (g,h) Comparison of peak firing rates (g) and rebound delay rates (h) for step versus ramped illumination ( $n = 16$  units from 3 mice). Values throughout are mean  $\pm$  s.e.m. For c,e,g,h, \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ . ANOVAs with Newman-Keuls *post hoc* tests in c,e; paired *t*-tests in g,h.  $F = 7.379$  for c, 2.826 for e;  $t = 4.485$  for g and 9.078 for h.



**Figure 4** Jaws-mediated red light inhibition in rodent cortex. (a) Confocal fluorescence images from Jaws-GFP-expressing motor cortex, 6 weeks after injection. Outline indicates brain boundary<sup>50</sup>. Scale bar, 1 mm (top) or 100  $\mu$ m (bottom). (b) Representative current-clamp recording of Jaws-expressing neuron undergoing optically evoked (top, 632 nm, 5 mW/mm<sup>2</sup>) or electrically evoked (bottom) hyperpolarization in acute cortical slice 6 weeks after injection. Red bar indicates optical illumination; blue trace indicates electrical current injection. (c) Quantification of Jaws photocurrents as a function of red light irradiance in acute slice ( $n = 16$  cells from 4 mice). (d) Confocal fluorescence images of Jaws-GFP-, Jaws-GFP-ER2- or eNpHR3.0-expressing motor cortex. Scale bars, 100  $\mu$ m. (e) Side-by-side comparison of red light-driven inhibition of spontaneous neural activity in motor cortex ( $n = 6$  units from 2 mice for each opsin; light powers were measured at the tip of a 200- $\mu$ m fiber; 637 nm). (f) Red-light inhibition was equivalent to yellow-light inhibition for Jaws ( $n = 5$  units from 2 mice;  $P = 0.4685$ ) and Jaws-ER2 ( $n = 6$  units from 2 mice;  $P = 0.1952$ ), but substantially less potent for eNpHR3.0 ( $n = 6$  units from 2 mice;  $P = 0.0121$ ). (g) Red light efficaciously inhibited neurons expressing Jaws ( $n = 5$  units from 2 mice) and Jaws-ER2 ( $n = 6$  units from 2 mice) but not eNpHR3.0 ( $n = 8$  units from 2 mice) over a range of firing rates. Values throughout are mean  $\pm$  s.e.m. In e, f, n.s., not significant; \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ . Unpaired  $t$ -test in e, paired  $t$ -test in f. From left to right,  $t = 0.2902, 3.264, 3.494, 2.422, 5.422, 11.4, 9.275$  and  $4.338$  for e and  $0.6205, 3.843$  and  $1.496$  for f. In e,  $P = 0.031, 0.0068, 0.0452, 0.0016, <0.0001, <0.0001$  and  $0.0025$  from lowest to highest irradiance. In f,  $P = 0.4685$  for Jaws,  $P = 0.1952$  for Jaws-ER2 and \* $P = 0.0121$  for eNpHR3.0.



injection (P64–75) and found peak wavelength photostimulation of Jaws-expressing photoreceptors to induce significantly more retinal ganglion spiking, indicated by mean spiking frequencies greater than those for eNpHR, ArchT, Mac or the wild-type Halo57 (Fig. 2c and Supplementary Fig. 5a). Jaws also enabled a broader peak spike frequency distribution than all other opsins ( $P < 0.05$  for Jaws versus all other opsins, Kolmogorov-Smirnov test), with a mean frequency of  $67.8 \pm 7.0$  Hz with eNpHR versus  $189.5 \pm 15.9$  Hz with Jaws (values throughout are mean  $\pm$  s.e.m. unless otherwise stated), increasing the spiking bandwidth by threefold (Fig. 2d). We additionally observed Jaws to mediate the highest ganglion spiking rates over various irradiances of 600 nm, 550 nm and 470 nm light (Fig. 2e and Supplementary Fig. 5c,d), indicating its high light sensitivity, which alongside Jaws's spectral peak at 600 nm may confer benefits because of the relative safety of 600-nm light<sup>26</sup>. From this comparison of light-sensitive hyperpolarizers, we conclude that Jaws may represent an optogenetic reagent with molecular properties better suited than previous opsins for therapeutic cone reactivation for a subset of patients with retinitis pigmentosa.

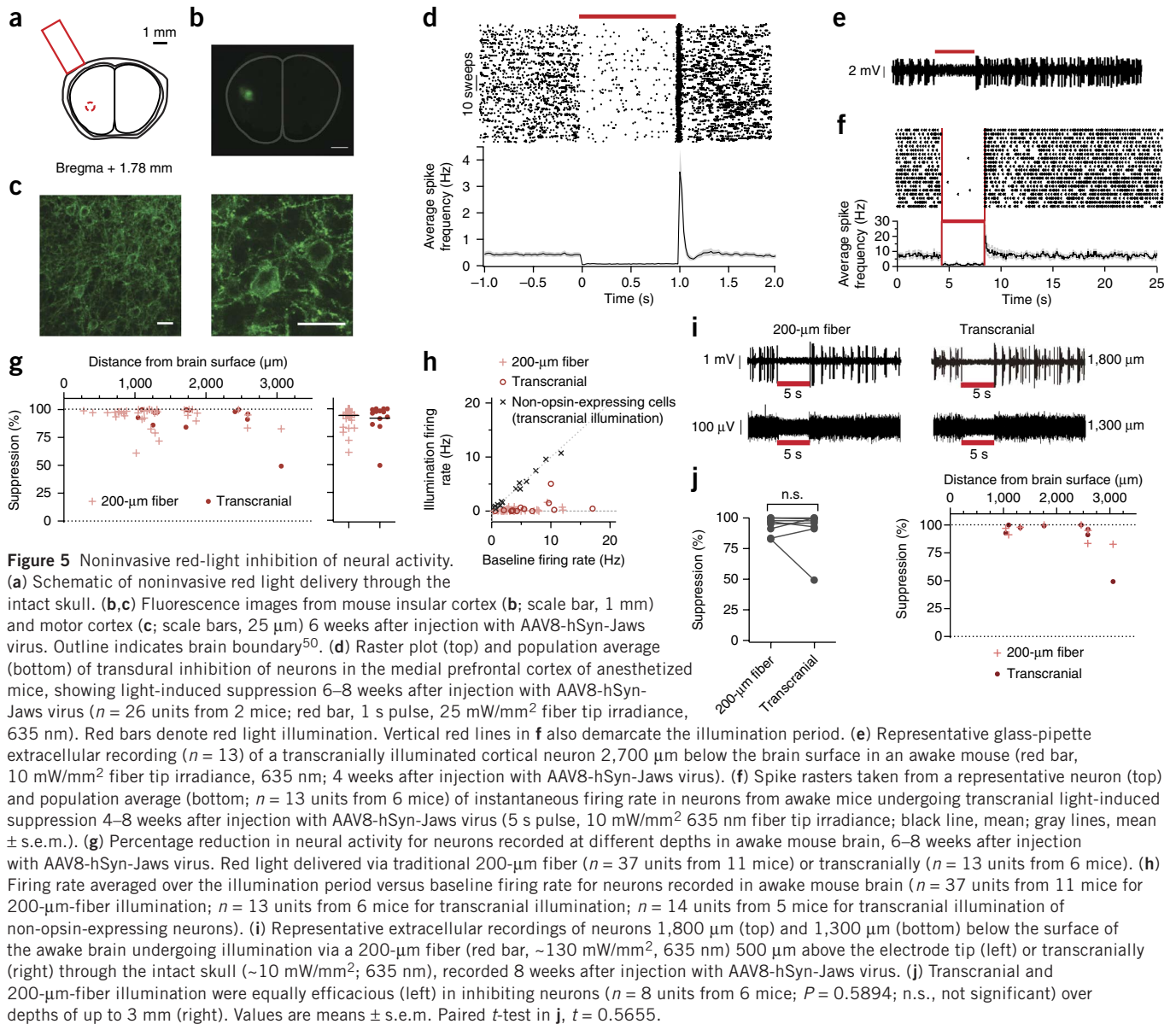
### In vivo suppression of visually evoked cortical activity

We next evaluated the performance of Jaws in a common neuroscientific experimental context: the suppression of stimulus-evoked neural activity. Given the proximity of yellow light to all existing inhibitory opsins' peak excitation wavelengths<sup>1,2</sup>, we decided, for this specific experiment, to directly compare Jaws's *in vivo* performance in the mouse brain against that of other inhibitors, using yellow light. Although all previous studies characterizing optogenetic hyperpolarizers have focused on inhibiting spontaneous neural activity<sup>1–4</sup>, many

neuroscientific questions focus on stimulus-evoked or event-associated neural activity. The ability to suppress the activity of a given cell type responding to behaviorally relevant inputs is therefore critical.

To assess Jaws's potential as a general-purpose inhibitor of stimulus-evoked neural activity, we injected a Cre recombinase-dependent AAV, serotype 5 (AAV5-FLEX), expressing eNpHR, eNpHR3.0, Arch, ArchT or Jaws into the primary visual cortex of *Emx1-cre* transgenic mice and delivered visual stimuli while delivering yellow light with an optrode (593 nm; 35 mW/mm<sup>2</sup> out of a 200  $\mu$ m fiber tip). Inhibition of visually evoked neural activity was strong (Fig. 3a,b), with an  $86 \pm 3\%$  reduction of visually evoked activity in Jaws-expressing cortex (Fig. 3c; from 4 mice,  $n = 27$  units with a significant reduction in firing;  $n = 3$  units showed no change and  $n = 3$  units showed a significant increase in firing) over a range of evoked firing rates (1.8–12.5 Hz; Supplementary Fig. 6a), and consistent across a range of input contrast strengths (Fig. 3d). This comparison of neural inhibitors thus reveals that Jaws is capable of mediating excellent inhibition of evoked neural activity in a biologically meaningful context.

A rebound burst of action potentials is common after illumination of cells expressing halorhodopsins<sup>18,27–30</sup> or archaerhodopsins<sup>18,27,31</sup>, which respectively pump chloride inward and protons outward. A variety of possible mechanisms have been proposed, including hyperpolarization-activated  $I_h$  currents<sup>29,30,32</sup> or changes in chloride reversal potential due to intracellular chloride accumulation<sup>33</sup>. We similarly observed post-illumination rebound in Jaws-, eNpHR3.0- and ArchT-transduced neurons, and Jaws produced greater effects than eNpHR3.0 or ArchT (Fig. 3e;  $n = 8–32$  units;  $P < 0.05$ , ANOVA with Newman-Keuls *post hoc* test). We attempted to ameliorate this by gradually ramping down illumination over a duration of 200–1,000 ms



and found that the mean rebound instantaneous firing rate dropped significantly, from  $52 \pm 12$  Hz to  $23 \pm 6$  Hz ( $n = 16$  units;  $P = 0.0065$ , paired  $t$ -test), suggesting that sculpting of light pulses may enable rebound reduction. Notably, the rebound delay also significantly lengthened, from  $18 \pm 6$  ms to  $76 \pm 6$  ms ( $n = 16$  units;  $P < 0.001$ , paired  $t$ -test) and became more temporally dispersed (Fig. 3f–h and Supplementary Fig. 6b). Like all previous inhibitors, Jaws does yield post-illumination rebound, which likely has implications for careful experiment design comparable to those for earlier inhibitors such as eNpHR3.0. Further attention to light-pulse shape may be merited as a way to reduce rebound for all optogenetic inhibitors.

### Red-light performance of Jaws

We next assessed Jaws's red-light properties via whole-cell patch-clamp recordings in acute cortical slices, as well as extracellular recordings in awake, head-fixed mice. We injected AAV8 encoding Jaws under the  $Ca^{2+}$ /calmodulin-dependent protein kinase (*Camk2a*) promoter or a truncated human synapsin (*SYN1*) promoter, hereafter referred to as *hSyn*<sup>16</sup>, or Jaws-ER2 under the *hSyn* promoter, into motor cortex

and observed robust red light photocurrents, hyperpolarization and neural inhibition (Fig. 4a–c and Supplementary Fig. 7a,c), with  $\sim$ 95% reduction of neural activity achievable in neurons illuminated by a 200- $\mu$ m fiber (130 mW/mm<sup>2</sup> fiber tip irradiance at 635 nm; Supplementary Fig. 8). Jaws's kinetic properties were comparable to those of other optogenetic inhibitors (Supplementary Figs. 4e and 7c), and its expression did not alter basal cell properties in cultured cortical neurons (Supplementary Fig. 4g), or in cortical or dentate granule neurons in acute brain slice (Supplementary Figs. 7d and 9).

Having established Jaws as a potent red-light-drivable inhibitor, we next assessed its *in vivo* performance compared to that of eNpHR3.0. We did titer-matched injections of  $2 \times 10^9$  viral particles of AAV8-hSyn-Jaws, AAV8-hSyn-Jaws-ER2 or AAV8-hSyn-eNpHR3.0 into mouse motor cortex (Fig. 4d) and performed awake head-fixed recordings to characterize each hyperpolarizer over different red (637-nm) light powers (Fig. 4e). Yellow light (593 nm) was used as a positive control to identify opsin-expressing neurons, owing to its proximity to both Jaws's and eNpHR3.0's spectral peaks, and we conducted paired recordings of individual opsin-expressing neurons to assess their

performance under different wavelengths (Fig. 4f). As expected, both Jaws variants robustly inhibited spontaneous neural activity when illuminated with either red ( $88.8 \pm 15.9\%$  inhibition for Jaws,  $97.2 \pm 3.9\%$  inhibition for Jaws-ER2) or yellow wavelengths ( $94.2 \pm 9.3\%$  inhibition for Jaws,  $99.0 \pm 1.6\%$  inhibition for Jaws-ER2), while eNpHR3.0's red-light inhibition dropped significantly to only  $27.5 \pm 30.3\%$  relative to its peak yellow-light performance of  $76.1 \pm 11.1\%$  inhibition (Fig. 4f;  $n = 5$  or 6 units,  $P = 0.0121$ ), and we found these red-light results to hold over a range of firing rates (Fig. 4g;  $n = 5-8$  units for each opsin).

### Noninvasive optogenetic inhibition

Having demonstrated Jaws's efficacy as a red-light-drivable reagent in a variety of contexts, we next sought to determine its potency as a noninvasive and long-distance neural inhibitor. Using red illumination (Fig. 5a), we found we could transdurally inhibit neurons in the medial prefrontal cortex of a mouse, with a  $86 \pm 13\%$  decrease (mean  $\pm$  s.d.) in firing for neurons showing suppression ( $n = 66$  units across 2 anesthetized mice; Fig. 5d). Using a similar strategy, we successfully inhibited neurons in the awake mouse cortex by delivering red light transcranially through the intact skull (635 nm, 10 mW/mm<sup>2</sup> out of a 1,500  $\mu$ m fiber tip; Fig. 5a,b) to regions 1–3 mm below the brain's surface (Fig. 5c,e,f). Transcranial inhibition in the motor, somatosensory, insular and piriform cortices was efficient, with a  $92 \pm 14\%$  decrease in firing for neurons showing suppression (mean  $\pm$  s.d.; from 7 mice,  $n = 13$  units;  $n = 9$  units showed no change and  $n = 3$  units showed an increase in activity; Fig. 5f).

The efficacy of transcranial optogenetic inhibition was similar across several millimeters of the awake mouse brain (Fig. 5g) and over a variety of baseline firing rates (Fig. 5h). To directly address the possibility that opsin-expressing apical dendrites near the brain's surface were being hyperpolarized, resulting in somatic or downstream network inhibition, we next conducted paired recordings using a standard acutely inserted 200- $\mu$ m fiber as a positive control for transcranial illumination outside the mouse's intact skull. Illumination from a 200- $\mu$ m fiber has been shown to fall off rapidly within a few hundred microns of the fiber tip<sup>34,35</sup>, and the likelihood of optogenetic inhibition has been similarly demonstrated to fall off over a similar distance<sup>31</sup>. Our paired recordings revealed similar degrees of suppression over a range of 1–3 mm below the brain's surface (Fig. 5i,j). From this, we conclude Jaws is capable of noninvasive optogenetic inhibition comparable to the current standard of invasive optical delivery.

### DISCUSSION

We here report Jaws, a red light sensitive opsin with the most red-shifted spectrum of any optogenetic inhibitor known to us. Jaws enabled efficacious transcranial inhibition of neural activity in awake mouse brain in response to red light, with a similar efficacy to standard invasive light delivery with a 200- $\mu$ m optical fiber. Additionally, Jaws enabled significantly more inhibition of stimulus-evoked neural activity than possible with previous halorhodopsins. Finally, it restored photosensory responses in retinitis pigmentosa retinas in a fashion achieving greater spike rates than previously achievable and with a more naturalistic frequency range, which may be important for retinal neural coding. Thus, Jaws has demonstrated utility across a wide variety of key neuroscience applications, both basic science and preclinical. We also demonstrate that light pulse sculpting can ameliorate the amplitude of the post-inhibition rebound that has been widely reported for optogenetic inhibitors.

It has been previously well established that optical penetrance of mammalian tissues in the red to near-infrared (600–1,000 nm) wavelengths is better than that of bluer wavelengths, as a result of

substantially less absorption from hemoglobin, myoglobin and lipid. Despite this better red light penetrance, however, myelination may still affect optical propagation in neural tissue and should therefore be taken into account given the experimental system in question<sup>36,37</sup>. The relative inexpensiveness and stability of red light sources may additionally be of use to experimental investigators, as well as the ability to avoid potentially confounding experimental visual artifacts, since mouse visual pigments peak at approximately 380 nm (ultraviolet cones) and 500 nm (green cones), but mouse vision above 600 nm is poor<sup>38,39</sup>.

As others have reported, we observed a small number of neurons across multiple *in vivo* experiments that increased firing during optical illumination delivered either transcranially or from a 200- $\mu$ m fiber, most likely as a result of network inhibition from upstream neurons<sup>3,40,41</sup>. It is not possible to noninvasively illuminate only deep volumes with our experimental setup without also targeting surface and intermediate layers. We therefore cannot explicitly rule out the possibility that the observed noninvasive results were the result of indirect network activity or apical dendritic illumination: a caveat for any *in vivo* investigation of noninvasive optogenetics. However, the robust photocurrents we observed both *in vitro* and in acute slices over a range of irradiances are consistent with and corroborate the functional inhibition we observed *in vivo* in awake mice. We therefore believe our paired recordings comparing transcranial illumination with local 200- $\mu$ m fiber illumination to be highly suggestive of direct inhibition.

In scenarios where brain integrity is mandatory, noninvasive optogenetics may be invaluable in helping to prevent the many known issues associated with implants<sup>8–10</sup>. The efficacy and simplicity of transcranial inhibition could be helpful for chronic optogenetic experiments involving long-term imaging<sup>42,43</sup>, longitudinal monitoring of disease progression<sup>44</sup>, or developmental studies, during which developmental changes in brain structures might preclude the chronic implantation of a fiber at a given target<sup>45</sup>. In principle, the need for brain surgery could be eliminated altogether by using transcranial illumination with a Jaws-expressing transgenic mouse strain<sup>27</sup>. Neural inhibition in animals with large brains, such as the rhesus macaque, in which perturbation of behavior with optogenetic stimulation or inhibition of neurons has recently been demonstrated<sup>46,47</sup>, may particularly benefit from the use of Jaws. Finally, with increasing interest in the potential for therapeutic optogenetics<sup>24,48</sup>, the opsin described here may prove useful in the context of the many prototype therapies being explored.

### METHODS

Methods and any associated references are available in the [online version of the paper](#).

**Accession codes.** GenBank: [KM000925](#), [KM000926](#), [KM000927](#) and [KM000928](#).

*Note: Any Supplementary Information and Source Data files are available in the online version of the paper.*

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# AUTHOR CONTRIBUTIONS

A.S.C. and E.S.B. coordinated all experiments and data analysis. A.S.C. designed and developed jaws and cloned all constructs. A.S.C. performed *in vivo* glass pipette extracellular recordings and *in vitro* electrophysiology. M.L.M. and J.A.C. performed *in vivo* tetrode extracellular recordings. V.B. performed *in vivo* multielectrode array recordings. A.S.C., G.A.C.M., A.T.S. and A.Y. performed slice electrophysiology. A.S.C., M.L.M., G.A.C.M., A.T.S., J.A.C., V.B. and M.O. performed *in vivo* viral injections. A.S.C., M.L.M., V.B., G.A.C.M., A.T.S., S.B.R. and M.O. performed histological processing and fluorescence imaging. S.B.K. and C.R.F. designed or performed autopath experiments. A.S.C. and N.C.K. performed transfections, cell culture and *in vitro* viral infections. M.A.H. conducted Monte Carlo modeling. L.C.A. carried out light propagation measurements. R.C.B. and B.D.A. carried out X-ray scans to measure mouse skull thicknesses for the Monte Carlo model. A.S.C., M.L.M., V.B., G.A.C.M., A.T.S., B.Y.C., X.H., J.A.C., B.R. and E.S.B. contributed to study design and data interpretation. J.A.C., B.R., K.M.T., Y.L. and E.S.B. supervised all aspects of the work. A.S.C. and E.S.B. wrote the paper with contributions from the other authors.

# COMPETING FINANCIAL INTERESTS

The authors declare competing financial interests: details are available in the [online version of the paper](#).

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## ONLINE METHODS

**Animal procedures.** All procedures were in accordance with the National Institute for Laboratory Animal Research Guide for the Care and Use of Laboratory Animals and approved by the Boston University Institutional Care and Use Committee (for extracellular red light experiments conducted transcranially or with a 200  $\mu$ m fiber), the Massachusetts Institute of Technology Committee on Animal Care (for all *in vitro* experiments, slice electrophysiology and light propagation measurements) or the Yale University Institutional Care and Use Committee (for inhibition of evoked response and transdural red light experiments). The Swiss Veterinary Office approved all animal experiments and procedures for viral delivery of opto-genes and multi-electrode array recordings (for the retina experiments). All mice in this study were housed 3–5 per cage, maintained with a 12-h light-dark cycle, and had no previous experimental history.

**Plasmid construction and site directed mutagenesis.** Opsin genes were mammalian codon-optimized and synthesized (Genscript). Point mutants were generated using the QuikChange kit (Stratagene) on the opsin-GFP fusion cassette in the pEGFP-N3 backbone (Invitrogen). Cultured neuron experiments were carried out by subcloning all genes into a lentiviral backbone containing the *Camk2a* promoter and with a C-terminal GFP fusion. AAV vectors were constructed by subcloning opsin-GFP cassettes into AAV vectors behind the *Camk2a*, CAG or *hSyn* truncated human synapsin (*SYN1*) promoters. For cone photoreceptor delivery, opsin-GFP cassettes were subcloned into pAAV2-mCAR-EGFP, replacing the *EGFP* gene<sup>24</sup>.

All constructs were verified by sequencing, and codon-optimized sequences were submitted to GenBank (accession codes [KM000925](#), [KM000926](#), [KM000927](#) and [KM000928](#)) and made available at <http://syntheticneurobiology.org/protocols/>.

**In vitro culture, transfection and imaging.** Hippocampal neuron cultures were prepared from postnatal day 0 or 1 Swiss Webster mice (Taconic), plated at a density of 16,000–20,000 per glass coverslip coated with Matrigel (BD Biosciences)<sup>51</sup>, and transfected at 3–5 d *in vitro* (DIV) using calcium phosphate (Invitrogen)<sup>1,3</sup>. GFP fluorescence was used to identify transfected neurons for the cruxhalorhopsin screen and HEK293FT characterizations; all other experiments were conducted by cotransfecting with an independent tdTomato plasmid, in which case neurons were picked based solely on tdTomato fluorescence.

Whole cell patch clamp recordings were made using a Multiclamp 700B, a Digidata 1440 and a PC running pClamp (Molecular Devices). Neurons were recorded 14–24 DIV, bathed in room temperature Tyrode's solution containing 125 mM NaCl, 2 mM KCl, 3 mM CaCl<sub>2</sub>, 1 mM MgCl<sub>2</sub>, 10 mM HEPES, 30 mM glucose, 0.01 mM NBQX and 0.01 mM GABAzine (Sigma) at pH 7.3 (NaOH-adjusted) and osmolality 300 mOsm (sucrose-adjusted). HEK cells were bathed in an identical Tyrode's bath solution lacking GABAzine and NBQX. No all-*trans*-retinal was supplemented for any recordings. 3–9 M $\Omega$  borosilicate glass pipettes (Warner Instruments) were pulled with a P-97 micropipette puller (Sutter Instruments) and filled with a solution containing (in mM) 125 potassium gluconate, 8 NaCl, 0.1 CaCl<sub>2</sub>, 0.6 MgCl<sub>2</sub>, 1 EGTA, 10 HEPES, 4 Mg-ATP and 0.4 Na-GTP at pH 7.3 (KOH-adjusted) and osmolality 298 mOsm (sucrose-adjusted).

Ion selectivity tests were carried out in chloride-free recording solution containing (in mM) 125 sodium gluconate, 2 potassium gluconate, 3 CaSO<sub>4</sub>, 1 MgSO<sub>4</sub>, 10 HEPES, 30 glucose, at pH 7.3 (NaOH-adjusted) and 305–310 mOsm (sucrose-adjusted), and using intracellular solution containing (in mM) 125 potassium gluconate, 8 sodium gluconate, 0.1 CaSO<sub>4</sub>, 0.6 MgSO<sub>4</sub>, 1 EGTA, 10 HEPES, 4 Mg-ATP, 0.4 Na-GTP, pH 7.3 (KOH-adjusted), 295–300 mOsm (sucrose-adjusted).

No action spectrum data were gathered from cells voltage-clamped at –65 mV with a leak current greater than –200 pA or with a resting membrane potential more positive than –45 mV in current-clamp mode. Access resistance was 5–30 M $\Omega$ . Resting membrane potential was ~–65 mV for neurons and ~–30 mV for HEK293FT cells in current-clamp recording. All parameters were monitored throughout recording.

All *in vitro* action spectra, photocurrent and voltage data were taken with a Leica DMI6000B microscope. Action spectra were taken with a monochromator

(Till Photonics Polychrome V, 15 nm bandwidth centered around each value). Spectra for a given cell were taken by averaging red-to-UV (685 to 387 nm) and UV-to-red (387 to 685 nm) spectra, to eliminate history dependence. Photon fluxes for all wavelengths were  $\sim 3.0 \times 10^{21}$  photons/s/m<sup>2</sup> (0.756 mW/mm<sup>2</sup> at 670 nm). Normalized action spectra were obtained by dividing the averaged photocurrent data by the highest observed data point.

Trafficking *in vitro* photocurrents were taken with a DG-4 optical switch with 300 W xenon lamp (Sutter Instruments), delivered with a  $575 \pm 25$  nm bandpass filter (Chroma). All other *in vitro* photocurrents were measured using 470 nm, 530 nm or 625 nm LEDs (Thorlabs). LED spectra were bandpass filtered with the following (Semrock): 530 nm LED with 543 nm  $\pm 11$  nm filter or 625 nm LED with 632 nm  $\pm 11$  nm. All light powers were measured out of the objective lens with a PM200B photodetector (Thorlabs). Data were analyzed using Clampfit (Molecular Devices) and Matlab (MathWorks, Inc.).

**AAV preparation.** The AAV particles used for cone photoreceptor targeting were produced in the laboratory of B.R.<sup>52</sup>, with titers between  $5.2 \times 10^{11}$  and  $6.8 \times 10^{12}$  GC/ml. All other AAV constructs were produced by the University of North Carolina Chapel Hill Vector Core at a titer of  $\sim 6 \times 10^{12}$  c.f.u./ml. All viral dilutions were carried out in phosphate-buffered saline (PBS; Life Technologies).

**In vivo rodent electrophysiology.** All light powers were measured and reported at the fiber tip (rather than calculated some distance away); for example, we measured 4 mW emitted from a 200- $\mu$ m fiber, which we calculated and reported as 127 mW/mm<sup>2</sup> density out of the fiber tip.

Injections for glass-pipette electrophysiology were made under isoflurane anesthesia and buprenorphine analgesia, and 1  $\mu$ l AAV was injected through a craniotomy made in the mouse skull into the motor cortex (1.78 mm anterior, 1.5 mm lateral and 1.75 mm deep, relative to bregma) or the piriform, insular or somatosensory/motor cortices (1.78 mm anterior, 2.0 mm lateral and, respectively, 4.0, 3.0 and 2.0 mm deep, relative to bregma) of female C57BL/6 mice 5–9 weeks old. Comparisons with eNpHR3.0 and Jaws were carried out by injecting  $2 \times 10^9$  viral particles in 1  $\mu$ l of an AAV-PBS mixture into motor cortex.

All viruses were injected at a rate of 0.15  $\mu$ l/min through a 34-gauge injection needle, after which the needle was allowed to rest at the injection site for 10 min to allow viral diffusion. The craniotomy was marked with Examix NDS (GC America), headplates were affixed to the skull with skull screws (JL Morris), and the craniotomy and headplate were covered with dental cement (C&B Metabond).

Expression in Jaws-targeted populations of neurons in the prefrontal cortex was achieved by intracranial injection of AAV8-hSyn-Jaws in P60–120 male C57BL/6 mice. Opsins were expressed in excitatory neurons in primary visual cortex by injecting AAV5-CAG-FLEX viruses into *Emx1-cre* or *Pvalb-cre* (ref. 53) mice. Mice were anesthetized with 1.5% isoflurane and virus was injected at 0.1  $\mu$ l/min; mice were given 4–5 weeks for recovery.

Extracellular recordings to measure inhibition of spontaneous neural activity were made in the cortex of headfixed awake mice 1–2 months after virus injection, using 3–10 M $\Omega$  saline-filled glass microelectrodes containing silver/silver chloride electrodes<sup>1,3</sup>. Signals were amplified with a Multiclamp 700B and digitized with a Digidata 1440, using pClamp software (Molecular Devices). A 635-nm, 200-mW laser (Shanghai Laser Optics and Century) was coupled to a 200- $\mu$ m-diameter optical fiber. An optical fiber was attached to the recording glass electrode, with the tip of the fiber 600  $\mu$ m laterally from and  $500 \pm 50$   $\mu$ m above the tip of the electrode, and guided into the brain with a Sutter manipulator. Transcranial recordings were carried out with a 635-nm red laser coupled to a 1,500- $\mu$ m-diameter optical fiber, and the recording electrode was also attached to a 200- $\mu$ m-diameter fiber, coupled to a different 635-nm laser, as described above. Comparisons between Jaws and eNpHR3.0 were carried out using a 593-nm yellow laser (Shanghai Laser Optics and Century) and a 637-nm red laser (Coherent Lasers), which were both coupled into the same 200- $\mu$ m-diameter optical fiber. All lasers were controlled via Digidata-generated TTL pulses. Light powers were measured with an integrating sphere (S142C, Thorlabs) and PM200B photodetector (Thorlabs).

*In vivo* whole cell patching was conducted in the cortex of anesthetized mice with an autopatcher<sup>54</sup>. A 200- $\mu$ m fiber was coupled to a 635-nm red laser, and



attached to a patch electrode as described for extracellular glass pipette recordings. Data were acquired and analyzed using pClamp software (Molecular Devices).

Extracellular recordings to measure suppression of evoked neural activity were conducted in mice anesthetized with 0.2–0.4% isoflurane and 0.8 mg/kg fentanyl. Extracellular recordings were performed with moveable arrays of tetrodes (Thomas Recording) with a 250- $\mu$ m interelectrode distance. Data acquisition at 40 kHz and online spike identification by waveform analysis used Cheetah data acquisition software (Neuralynx). An optical fiber (200 or 1,500  $\mu$ m) was placed on the dura next to the electrode array and connected to either a 593-nm or a 635-nm laser. To activate Jaws, 1-s pulses of light were given at 0.1 Hz at varying light intensities (10, 25, 50 or 75 mW/mm<sup>2</sup>). Visually evoked responses were driven by randomized sequences of drifting gratings at 16 orientations and a spatial frequency of 0.05 cycles/degree on a background of mean luminance. The size of the visual stimulus was optimized for the receptive fields of the recorded population. Response measurements for each neuron were taken at the optimal orientation as identified by *post hoc* analysis.

Data were analyzed using Matlab (MathWorks)<sup>1,3</sup>. Briefly, spikes were detected and sorted offline using Offline Sorter (Plexon) or waveform template matching and principal component analysis discrimination (KlustaKwik and custom-written Igor software, J.A.C.<sup>55</sup>). Only clusters with clear refractory periods in the autocorrelogram, indicating a single-unit source, were used for further analysis. As a further precaution against over-representation of the same unit, cross-correlograms were run for every pair of identified units in a set. Clusters that were not easily separable were discarded. Light-modulated units were identified by performing a paired *t*-test between the 1- or 5-s illumination period against the baseline firing period of the same time duration immediately before illumination, thresholding at *P* < 0.05. The degree of suppression by Jaws activation was calculated for each cell by dividing the mean firing rate during the light stimulus by the mean baseline firing rate during the same time duration before light stimulation onset. Rebound firing rates were calculated in a window immediately after the cessation of the light stimulus. The width of the window was determined as the duration for which firing rates were elevated >3 s.d. of the mean spontaneous firing rate.

Light onset latency to inhibition was calculated by sweeping a 20-ms sliding window to identify the earliest 20-ms period deviating from the baseline firing rate, as assessed by performing a paired *t*-test for the firing rate during each window versus during the baseline period, averaged across all trials for each neuron. The time for after-light suppression to recover back to baseline was calculated by taking the median of all trials, owing to a nonparametric distribution.

**Ex vivo slice electrophysiology.** For whole-cell patch clamp recordings in motor cortex, coronal 300- $\mu$ m brain slices were prepared 4 or 6 weeks after viral injection of AAV8-hSyn-Jaws. Male C57BL6 mice were deeply anesthetized with sodium pentobarbital (200 mg/kg), then transcardially perfused with 15–20 ml of ice-cold modified artificial cerebrospinal fluid (ACSF; composition in mM: NaCl 87, KCl 2.5, NaH<sub>2</sub>PO<sub>4</sub> 1.3, MgCl<sub>2</sub> 7, NaHCO<sub>3</sub> 25, sucrose 75, ascorbate 5, CaCl<sub>2</sub> 0.5, in ddH<sub>2</sub>O; osmolality 320–330 mOsm, pH 7.30–7.40) saturated with carbogen gas (95% oxygen, 5% carbon dioxide). The brain was rapidly removed from the cranial cavity and then sectioned using a vibrating-blade microtome (VT1000S, Leica). Slices were allowed to recover for at least 90 min in a holding chamber containing ACSF (composition in mM: NaCl 126, KCl 2.5, NaH<sub>2</sub>PO<sub>4</sub> 1.25, MgCl<sub>2</sub> 1, NaHCO<sub>3</sub> 26, glucose 10, CaCl<sub>2</sub> 2.4, in ddH<sub>2</sub>O; osmolality 299–301 mOsm; pH 7.35–7.45) saturated with carbogen gas at 32 °C before being transferred to the recording chamber for electrophysiology. Once in the recording chamber, slices were continuously perfused at a rate of 2 ml/min with fully oxygenated ACSF at 32 °C with added picrotoxin (100  $\mu$ M), NBQX (20  $\mu$ M; Sigma) and AP5 (50  $\mu$ M; Tocris) to block fast synaptic transmission in the slice.

For whole-cell patch clamp recordings of dentate granule cells, four male C57BL6 mice 9–10 weeks old were injected with AAV8-Camk2a-Jaws under deep isoflurane anesthesia. 0.5  $\mu$ l AAV was delivered twice at two locations into the hippocampus (3.2 mm posterior, 3.1 mm lateral and, respectively, 2.3 and 2.7 mm deep, relative to bregma) at 100  $\mu$ l/min with a 34-gauge needle attached to a Hamilton 5- $\mu$ l syringe, and the needle was left in place for 3 min to allow viral diffusion. Four weeks after injection, mice were killed by decapitation and horizontal 300  $\mu$ m brain sections were prepared on a Leica VT1200 S vibratome. Sucrose-based ACSF (composition in mM: sucrose 75, NaCl 67, NaHCO<sub>3</sub> 26,

glucose 25, KCl 2.5, NaH<sub>2</sub>PO<sub>4</sub> 1.25, CaCl<sub>2</sub> 0.5, MgCl<sub>2</sub> 7; pH 7.4, osmolality 305–310 mOsm) was used for cutting (at 4 °C) and subsequent storage of slices (32 °C for 20–30 min, then maintenance at room temperature, 23.0–23.5 °C). After >1 h incubation, slices were transferred to a submerged recording chamber continuously perfused at 2 ml/min with ACSF (in mM: NaCl 119, NaHCO<sub>3</sub> 1.24, glucose 10, KCl 2.5, NaH<sub>2</sub>PO<sub>4</sub> 1.24, CaCl<sub>2</sub> 2.5, MgCl<sub>2</sub> 1.3, pH 7.4, 295 mOsm) maintained at room temperature. Jaws-infected cells were identified under 460–480 nm blue light, whereas visual guidance of the patch electrode was assisted by infrared differential interference contrast (DIC) microscopy (Olympus BX51).

Electrodes for cortical recordings were pulled from thin-walled borosilicate glass capillary tubing using a P-97 puller (Sutter Instruments) and had resistances of 4–6 M $\Omega$  when filled with internal solution (composition in mM: potassium gluconate 125, NaCl 10, HEPES 20, Mg-ATP 3, Na-GTP 0.4 and 0.5% biocytin, in ddH<sub>2</sub>O; osmolality 289 mOsm; pH 7.31). Capacitance, series resistance and input resistance were frequently measured throughout recording to monitor cell health. Cells were visualized through a 40 $\times$  water-immersion objective on an upright microscope (Scientifica) equipped with infrared DIC optics and a Q-imaging Retiga Exi camera (Q Imaging).

Tip electrode resistance for dentate granule cell recordings was 4.6–7.4 M $\Omega$  in ACSF. Patch electrode solution consisted of (in mM) potassium gluconate 122.5, KCl 12.5, KOH-HEPES 10, KOH-EGTA 0.2, Mg-ATP 2, Na<sub>3</sub>-GTP 0.3, NaCl 8 (pH 7.35, mOsm 296), and 0.2–0.4 mg/ml biocytin was added immediately before use. 10-pA step hyperpolarization/depolarization square current pulses were used to determine the input-output relationship and 300 pA ramp depolarization was used for AP generation. Uninfected dentate granule cells recorded from the contralateral dentate gyrus of virus-injected mice or uninjected animals served as controls. None of these cells responded to 625-nm red light. Uncompensated series resistance was typically 14–25 M $\Omega$ , and cells with resting membrane potential more positive than –50 mV were discarded (*n* = 3).

Optical activation was delivered to motor cortex using 500-ms pulses from 590-nm or 625-nm LEDs (Thorlabs), which were additionally filtered with band-pass filters (Semrock): 590-nm LED with 590-nm  $\pm$  10-nm filter or 625 nm LED with 632-nm  $\pm$  11-nm filter, and to dentate granule cells using 1 s 625-nm red light (M625L3-C1, Thorlabs, 68 mW/mm<sup>2</sup>). All light powers were measured out of the objective lens with a PM200B photometer (Thorlabs). Illumination spot sizes were measured by photobleaching an in-focus microscope slide coated with Alexa 488 dye for 10 min under full-intensity illumination, then imaging with a micrometer calibration slide to determine the photobleached radius.

All recordings were made using a Multiclamp 700B amplifier and Clampex 10 or 10.4 software (Molecular Devices, CA, USA). Cortical recordings were low-pass filtered at 1 Hz and digitized at 10 kHz using a Digidata 1550 (Molecular Devices, CA, USA); dentate granule cell recordings were digitized with a Digidata 1440. All data were analyzed using Clampfit (Molecular Devices) and Matlab (MathWorks).

**Retinal multi-electrode array recordings.** Rodent information is outlined in **Supplementary Table 1**. Experiments were conducted as previously described<sup>24</sup>. Briefly, for viral injections to the retina, animals were anesthetized using 3% isoflurane. A small incision was made with a sharp 30-gauge needle in the sclera near the lens and 2  $\mu$ l AAV particles were injected slowly into the subretinal space using a blunt 5- $\mu$ l Hamilton syringe held in a micromanipulator.

To record spike trains from retinal ganglion cells, isolated mouse retinas were placed on a flat MEA60200 Pt GND array (30- $\mu$ m-diameter microelectrodes spaced 200  $\mu$ m apart) (Ayuda Biosystems or Multi Channel Systems). The retina was continuously superfused in oxygenated Ringer's solution (110 mM NaCl, 2.5 mM KCl, 1.0 mM CaCl<sub>2</sub>, 1.6 mM MgCl<sub>2</sub>, 22 mM NaHCO<sub>3</sub> and 10 mM D-glucose (pH 7.4 with 95% O<sub>2</sub>/5% CO<sub>2</sub>)) at 36 °C during experiments. Signals were recorded (MEA1060-2-BC, Multi-Channel Systems) and filtered between 500 Hz (low cut-off) and 3,500 Hz (high cut-off). Action potentials were extracted with a threshold of greater than 4 times the s.d. of the recorded trace (Matlab, MathWorks).

**Histology.** We performed histology on *n* = 6 mice injected with AAV8-hSyn-Jaws, *n* = 2 mice injected with AAV8-hSyn-eNpHR3.0 and *n* = 2 mice injected with AAV8-hSyn-Jaws-ER2, representative images from each of which are shown in **Figures 4 and 5**. We examined *n* = 7 opsin-expressing retinas, and the images

shown in **Figure 2** and **Supplementary Figure 5** are representative of the whole population.

Mice were terminally anesthetized with isoflurane, then perfused through the left cardiac ventricle with 4% paraformaldehyde in PBS. The brain was removed and sectioned into 40  $\mu\text{m}$  coronal sections on a cryostat and subsequently mounted with Vectashield HardSet (Vector Labs). Acute brain slices were fixed in 4% paraformaldehyde overnight at 4 °C after recording and then washed in PBS. The slices were blocked for 1 h at room temperature in PBS containing 3% normal donkey serum (NDS) and 0.3% Triton, followed by incubation with Alexa 405-conjugated streptavidin (1:1,000; Life Technologies) in PBS containing 3% NDS and 0.3% Triton, for 2 h at room temperature, to reveal biocytin labeling. Brain slices were subsequently washed in PBS, mounted on glass slides and coverslipped with PVA-DABCO (Sigma).

Cryosectioned slides were visualized and imaged with a Zeiss LSM 510 confocal microscope using 20 $\times$  and 63 $\times$  objective lenses, or a Nikon TI-E microscope using a 20 $\times$  objective lens. Acute slices were imaged using a Olympus FV1000 confocal laser scanning microscope through a 10 $\times$ , 0.40 numerical aperture objective or a 40 $\times$ , 1.30 numerical aperture oil-immersion objective using Fluoview software (Olympus). Images were subsequently processed in Adobe Photoshop CS6 (Adobe Systems).

Retinal immunostaining was conducted as previously described<sup>24</sup>. Briefly, retinas were isolated and fixed in 4% paraformaldehyde in PBS for 30 min at room temperature, and washed in PBS overnight at 4 °C. Retinal whole mounts were incubated in 30% sucrose treated with three freeze-thaw cycles, after which all steps were performed at room temperature. Retinas were incubated in a blocking solution (10% normal donkey serum (NDS, Chemicon), 1% bovine serum albumin (BSA) and 0.5% Triton X-100 in PBS, pH 7.4) for 1 h. Primary and secondary antibody applications were done in 3% NDS, 1% BSA, 0.02% sodium azide and 0.5% Triton X-100 in PBS.

Primary antibodies for GFP (rat-GFP, 1:500, Nacalai/Brunschwig 04404-84) and mCAR (rabbit-cone-arrestin, 1:200, Millipore AB15282) were applied for 3 d. After washing the retina three times for 10 min in PBS, the retina was incubated with cyanine dye-conjugated secondary antibodies (1:200; donkey anti-rat-Cy5, Jackson Labs 712-175-153, or donkey anti-rabbit-Cy3, Jackson Labs 711-165-152) and 10  $\mu\text{g}/\text{ml}$  DAPI (Roche Diagnostics) for 2 h. After another three 10-min washes in PBS, the retina was mounted on a glass slide with ProLong Gold antifade reagent (Invitrogen). Confocal images of antibody-stained retinas were taken using a Axio Imager Z2 equipped with a LSM 700 scanning head.

**In vivo light propagation measurements and Monte Carlo modeling.** Isotropic light measurement probes were constructed by gluing a 300- $\mu\text{m}$ -diameter spherical ruby ball lens to the end of a 400- $\mu\text{m}$ -diameter multimode optical fiber with transparent, UV-cured adhesive. Emitted fluorescence was measured using an HR2000 CCD spectrometer (Ocean Optics) and recorded using SpectraSuite (Ocean Optics). Prior to testing, a dark spectrum measurement was taken and a nonlinearity correction was applied using default coefficients. The probe was calibrated in water ( $n = 1.33$ ) with collimated light.

532- or 635-nm lasers (Shanghai Laser Optics Company) were connected to an optical shutter via a 200- $\mu\text{m}$ -diameter multimode fiber, and the shutter was FC-coupled to a 1.5-mm-diameter plastic optical fiber. Five male C57BL/6J mice were used for green light measurements and five different C57BL/6J mice were used for red light measurements. All measurements were taken under pentobarbital analgesia (50 mg/kg, i.p.). Of the 10 mice  $\times$  3 depths  $\times$  5 powers, one mouse was not analyzed because the 3-mm-depth files were inadvertently not saved owing to manual save process clunkiness; 6 other files were also inadvertently not saved, but at least 3 power levels were measured for every depth and mouse other than the one noted above.

In Matlab, we performed Monte Carlo simulations of light scattering and absorption in the brain from light emitted from the end of an optical fiber by dividing a cube of gray matter into a 100  $\times$  100  $\times$  100 grid of voxels, each voxel 50  $\mu\text{m}$   $\times$  50  $\mu\text{m}$   $\times$  50  $\mu\text{m}$  in dimension, using previously published algorithms<sup>56,57</sup>. To achieve accurate simulations of light propagation close to the optical fiber, before the orientation of photon trajectories is randomized by multiple scattering events, we used an anisotropic scattering model with either Henyey-Greenstein or Gegenbauer kernel phase functions, as indicated below. We interpolated data from ref. 58 to obtain scattering coefficients for gray matter and white matter at

wavelengths of 532 and 635 nm (below). Because ref. 58 used samples washed of blood, the effects of blood on optical properties of these tissues would not be reflected in their measurements; to accurately simulate *in vivo* conditions, the effects of blood scattering must be added. We took the brain's blood volume fraction to be 4% (ref. 59) and the red cell volume fraction of blood (hematocrit) to be 45% (ref. 60). Thus, excluding large blood vessels, the brain contains dilute blood that has about a 1.8% hematocrit. In this red blood cell concentration regime, the anisotropy coefficient is constant and the scattering and absorbing coefficients are directly proportional to hematocrit<sup>61</sup>. To calculate the total scattering coefficient, we added the scattering coefficient due to brain tissue alone to the scattering coefficient due to the blood in the tissue. To calculate the total absorption coefficient, we likewise added the absorption coefficient due to brain tissue alone to the absorption coefficient due to the blood in the tissue. To calculate the anisotropy coefficient, we took the weighted average anisotropy coefficients of the tissue and blood, weighted by the relative likelihood of each of the two components of causing a scattering event. We chose the Gegenbauer kernel for the phase function when blood was present, as blood is a large component of the scattering and has been shown to be modeled well using that phase function<sup>61</sup>. With no blood present, as was assumed for the bone, we used the Henyey-Greenstein phase function as in ref. 58. Optical properties of bone at 532 nm and 633 nm were interpolated from data in refs. 62,63.

We used simplified geometries of gray matter and skull bone to illustrate the effects of tissue variations in several experimental locations. In each case, the tissue properties were set on a per-voxel basis, with no corrections for specular reflections or refraction at boundaries between tissue types.

We launched  $2 \times 10^6$  packets of photons in a fiberlike radiation pattern through fibers modeled on the experimentally used fiber—1.5 mm diameter, 0.5 NA—and modeled their propagation into the brain on the basis of the algorithm of ref. 64. In essence, whenever a photon packet entered a voxel, our program probabilistically calculated the forecasted traveling distance before the next scattering event. If that traveling distance took the photon packet out of the starting voxel, then the packet would be partially absorbed appropriately for the distance it traveled within the voxel and the voxel's absorption coefficient. The process would then restart upon entry of the photon packet into the new voxel. If the distance traveled before scattering was less than the distance to the edge of the voxel, then the packet would be partially absorbed appropriately for the distance it traveled within the starting voxel, and a new direction of packet propagation would be randomly chosen according to the phase function. Using this model, we generated **Supplementary Figure 1a,b**, which shows the contours at which the light fluence falls off to various percentages of the light intensity emitted by the fiber.

The coefficients we used were as follows:

Gray matter at 532 nm with 4% v/v blood: absorption coefficient ( $\text{mm}^{-1}$ ) 0.942, scattering coefficient ( $\text{mm}^{-1}$ ) 23.3, anisotropy factor 0.949, using the Gegenbauer kernel phase function.

Gray matter at 633 nm with 4% blood: absorption coefficient ( $\text{mm}^{-1}$ ) 0.071, scattering coefficient ( $\text{mm}^{-1}$ ) 20, anisotropy factor 0.95, using the Gegenbauer kernel phase function.

Bone at 532 nm with no blood: absorption coefficient ( $\text{mm}^{-1}$ ) 0.105, scattering coefficient ( $\text{mm}^{-1}$ ) 34, anisotropy factor 0.93, using the Henyey-Greenstein phase function.

Bone at 633 nm with no blood: absorption coefficient ( $\text{mm}^{-1}$ ) 0.06, scattering coefficient ( $\text{mm}^{-1}$ ) 33, anisotropy factor 0.93, using the Henyey-Greenstein phase function.

White matter at 532 nm with 4% blood: absorption coefficient ( $\text{mm}^{-1}$ ) 1.0, scattering coefficient ( $\text{mm}^{-1}$ ) 55.3, anisotropy factor 0.862, using the Gegenbauer kernel phase function.

White matter at 633 nm with 4% blood: absorption coefficient ( $\text{mm}^{-1}$ ) 0.134, scattering coefficient ( $\text{mm}^{-1}$ ) 53.8, anisotropy factor 0.87, using the Gegenbauer kernel phase function.

To measure skull thickness for **Supplementary Figure 1a**, male C57BL/6 mice were transcardially perfused with 4% formaldehyde in PBS, and the skulls were removed and imaged with a Nikon XTH160 X-ray micro-CT system. Images were generated at an X-ray voltage of 45 kV and a current of 7.5 W. Sixteen frames per projection were acquired with an integration time of 100 ms, with a total acquisition time of 42 min per skull. Acquired images were reconstructed with CT Pro 3D (Nikon Metrology) and visualized with VGStudio 2.0 (Volume Graphics).

Coordinates were stereotactically defined relative to bregma and skull thicknesses determined by measuring z-direction differences at a given coordinate.

**Statistical analysis.** No statistical methods were used to predetermine sample sizes, but sample sizes in this paper were similar to those in previous papers from our group<sup>1,3,65,66</sup> and were chosen to reflect sample sizes that might be experienced by end-users. No blinding was carried out, with the exception of cotransfected opsin-GFP and tdTomato data sets, as the chief goal of the paper was to demonstrate the capabilities of a new technology. No randomization was carried out. All replicates were biological.

Statistical analyses were performed using JMP Pro 10 (SAS Software) and Prism 5 (GraphPad). All data were tested for normality with a Shapiro-Wilk test. Two-sample comparisons were characterized with a two-tailed *t*-test, and multiway comparisons for a single variable were characterized with an ANOVA followed by a Newman-Keuls multiple comparison post-test between pairs. Paired tests were conducted with a two-tailed paired sample *t*-test. A Kolmogorov-Smirnov test was used to assess differences in spiking frequency distributions because of its sensitivity to both location and shape of cumulative distribution functions.

A **Supplementary Methods Checklist** is available.

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